

REGIONAL / UNITARY COUNCIL - DAM SAFETY SCHEME

FORM 1: DAM CLASSIFICATION CERTIFICATE

(Sections 135, 138 and 139 of the *Building Act 2004*)

Send or deliver your certificate to your relevant regional council.

Section 1: Dam

Dam name			
Location of dam	Address:		
(NZTM)	Easting:	Northing:	
Additional notes (See point 3 of the guideline notes at the end of this form)			
Date of construction (year)			
Building consent number or identification (if applicable)			
Purpose of dam	<input type="radio"/> Agricultural/pastoral/irrigation <input type="radio"/> Detention <input type="radio"/> Hydro electrical power <input type="radio"/> Tailings <input type="radio"/> Water supply <input type="radio"/> Recreation/amenity <input type="radio"/> Treatment <input type="radio"/> Other _____		
Type of dam	<input type="radio"/> Earth <input type="radio"/> Concrete <input type="radio"/> Mixed <input type="radio"/> Rockfill <input type="radio"/> Unknown <input type="radio"/> Other _____		
Height of dam (in metres) Regulation 6 applies for the purpose of measuring a dam's height.		Dam's stored volume (in cubic metres) Regulation 7 applies for the purpose of measuring a dam's stored volume (See guidance notes, point 9).	
	m		m3
Relevant regional or unitary authority (See guidance notes, point 8)			

Section 2: Potential impact classification

(Potential impact classification is determined under section 134B of the *Building Act 2004* and regulation 9 of the *Building (Dam Safety) Regulations*.)

☐ High ☐ Medium ☐ Low

Section 3: Dam Owner (See guidance notes, point 4)

Name of owner	
Chief executive of owner or equivalent (if owner is a body corporate)	
*if there is no chief executive, specify a person with an equivalent position in the body corporate.	

Business address		
		Postcode:
Postal address (If different from business address)		
		Postcode:
Phone number/s	Mobile:	Business:
Email address		

Billing details

(Please specify the billing details for this certificate).

Full name		
Mailing address		
		Postcode:
Phone number/s	Mobile:	Business:
Email address		
Preferred method of correspondence	<input type="radio"/> Email <input type="radio"/> Post	
Purchase order number (if required)		

Section 4: Land Owner (See guidance notes, point 4) (required if different from dam owner)

Name of owner		
Business address		
		Postcode:
Postal address (if different from business address)		
		Postcode:
Phone number/s	Mobile:	Business:
Email address		

Section 5: Certificate of recognised engineer

I certify that the classification of the above dam as a ☐ High ☐ Medium ☐ Low potential impact dam accords with the criteria and standards for dam safety prescribed under regulation 9 of the *Building (Dam Safety) Regulations 2022*.

I am a recognised engineer in accordance with section 149 of the *Building Act 2004* because I:

- ☐ meet the requirements of section 149(1)(a) and (b) of that Act; and
☐ have the qualification and competency prescribed under regulation 22 of the *Building (Dam Safety) Regulations 2022*.

- ☐ I have attached evidence that I am a recognised engineer in the attachment section below.
☐ The dam owner has been advised they are responsible for all payments to council associated with this Dam Classification Certificate.

Signature of recognised engineer _____ Date _____

Full name of recognised engineer _____

Chartered professional engineer registration number _____

Section 6: Attachments

- ☐ PIC Assessment Report documentation (optional)
- ☐ Evidence of recognised engineer documentation
- ☐ Letter of authority from owner (for applicant/agent if applicable)

Guideline notes

1. Please complete the additional fields beyond the mandatory requirements of Form 1 of Schedule 3 of the *Building (Dam Safety) Regulations 2022*. This data will be used to update your council's Dam Register and enable a better understanding of the region's dam portfolio.
2. Fees and charges may vary between councils. Please refer to your relevant authority for details.
3. Please attach any additional information regarding the dam, reservoir and/or owner details that you think may be relevant. For example, list multiple owners if the dam straddles the boundaries of more than one property, or list multiple dams if there is more than one on a single reservoir.
4. For the purpose of the *Building Act 2004* and *Building (Dam Safety) Regulations 2022*, the 'dam owner' is the person who legally owns the physical dam. Typically, the landowner will also be the dam owner. However, this will not always be the case as there are a variety of different scenarios when it comes to dam ownership. If the owner of the dam is not the same person as the owner of the land the dam is located on, there will likely be a record of this. Generally, where multiple people own the dam, they will collectively be the 'dam owner'. In most cases, it should be apparent who the owner/s of a dam is.
5. For multiple dams on a single reservoir, classifiable dams must have their own dam classification certificate.
6. A dam that is a canal that must be classified under section 134B may have different classifications for different sections of the canal and in that case each of those sections must be treated as a separate dam for the purposes of sections 134 to 139 (as set out in section 134BA of the *Building Act 2004*).
7. The dam owner must provide classification of, and certificate for, the dam to regional or unitary authority within three months of the dam being commissioned (as set out in section 135 of the *Building Act 2004*).

8. Regional or unitary authority (*For Marlborough District Council, refer to their website.*)

Auckland Council	Hawkes Bay Regional Council	Southland Regional Council
Bay of Plenty Regional Council	Horizons Regional Council	Taranaki Regional Council
Canterbury Regional Council	Nelson City Council	Tasman District Council
Gisborne District Council	Northland Regional Council	Waikato Regional Council
Greater Wellington Regional Council	Otago Regional Council	West Coast Regional Council

9. Dam's stored volume

Clarification to DSS Regulation 7, The Building Act, sec 7 "Crest" definition includes "For the avoidance of doubt, any freeboard is part of the water retaining structure. i.e. included in Dam Stored Volume"

This differs from: "Reservoir Capacity" i. e. The Typical volume of fluid stored up to the freeboard/spillway level and includes any fluid stored below the ground level of the dam.